

## ULTIMATE BENEFICIAL OWNER (UBO) STATEMENT<sup>1</sup>

When establishing a business relationship, during the business relationship or when carrying out occasional transactions, PBZ-LEASING d.o.o., Zagreb, Radnička cesta 44, PIN: 57270798205 (hereinafter: PBZ Leasing) is obliged to apply a process in which it determines and checks the identity of beneficial owner of a party which is a legal entity, in accordance with due diligence requirements prescribed by Anti-Money Laundering and Terrorist Financing Act.

**The beneficial owner of a legal entity** is considered to be any natural person (persons) who ultimately owns or controls the legal entity or on whose behalf the transaction is carried out and includes at least:

- A. a natural person/s who is the owner of a legal entity and who controls the legal entity through direct ownership of more than 25% of shares, voting or other rights based on which they exercise the right to manage the legal entity or ownership of 25% plus one stocks
- B. natural person/s who control a legal person through indirect ownership (ownership or control of the same natural person over one or more legal persons) who individually or jointly have more than 25% of business shares, voting or other rights based on which they exercise the right to manage the legal person or 25% plus one stock in a legal entity
- C. a natural person who has a controlling position in the management of the assets of a legal entity through other means (it may also refer to the control criteria used in consolidated financial statements, for example shareholders' agreements, by exercising predominant influence and the authority to appoint higher management)
- D. If it is not possible to identify natural persons according to the above criteria, the directors/members of the board or persons performing equivalent functions are considered the beneficial owners (subsidiary beneficial ownership).
- E. The actual owner of associations and their alliances, foundations, institutions, art organizations, chambers, unions, employers' associations, political parties, cooperatives, credit unions or religious communities is considered to be a natural person authorized for representation.

### LEGAL ENTITY FOR WHICH THE STATEMENT IS GIVEN:

Name:

PIN (OIB)<sup>2</sup>

### IS OWNED BY FOLLOWING NATURAL PERSON/S:

No.	Name	Country of domicile	Date of birth	Citizenship/s	PIN (OIB) <sup>2</sup>	Ownership type (A to E)	Share (in %)	Politically exposed person* (YES/NO)
1.								
2.								
3.								

<sup>1</sup> To be filled out for clients legal entites.

<sup>2</sup> If you do not have PIN (OIB) of the entity/person from your country, please enter unique ID from your country.

\* Politically exposed person is any natural person who holds or in the previous 12 months has held a prominent public function in a member state or a third country, including members of his immediate family and persons who are known to be close associates of a politically exposed person. Immediate family members of a politically exposed person are: spouse or person with whom the politically exposed person is in a cohabitation, life partnership or informal life partnership; children and their spouses or persons with whom the children of politically exposed persons are in a cohabitation, life partnership or informal life partnership; parents of a politically exposed person. A close associate of a politically exposed person is any natural person who is known to have joint beneficial ownership of a legal entity or legal arrangement or any other close business relationship with a politically exposed person, i.e. who is the sole beneficial owner of a legal entity or legal arrangement that are known to be established for the benefit of a politically exposed person.

AND/OR LEGAL ENTITY WHICH IS OWNED BY NATURAL PERSON/S:								
No.	Name of legal entity		Registered principal office address			PIN (OIB) <sup>2</sup>		Share (in %)
1.								
No.	Name	Country of domicile	Date of birth	Citizenship/s	PIN (OIB) <sup>2</sup>	Ownership type (A to E)	Share (in %)	Politically exposed person* (YES/NO)
1.1.								
1.2.								

If a legal entity has a more complex ownership structure, please fill out Appendix 1. Ownership structure.

If you indicated that some of the above listed natural persons are politically exposed persons (PEP), please fill out a separate Politically exposed person (PEP) statement.

By signing this statement, I confirm that the information provided in it is true, and I authorize PBZ Leasing to check all provided informations. I will personally inform PBZ Leasing if there are any changes related to previously provided data and details.

Name of legal representative:

Place and date: \_\_\_\_\_

Signature: \_\_\_\_\_

#### Personal data protection

PBZ Leasing, as a data controller, operates in accordance with the principles of Regulation (EU) 2016/679 of the European Parliament and Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data and repealing Directive 95/46/EC (General Data Protection Regulation). By signing this statement, I confirm that I am informed that the data from this statement is necessary for PBZ Leasing acting as the data controller in order to comply with its legal obligations, in accordance with Article 6, paragraph 1, point c) of the General Data Protection Regulation. More detailed information on the protection of your privacy is provided in the document "Information on the processing of personal data", which is available at [www.pbz-leasing.hr](http://www.pbz-leasing.hr) and in the business premises of the data controller. You can contact the Data Protection Officer at the data controller's registered principal office address or at e-mail: [gdpr@pbz-leasing.hr](mailto:gdpr@pbz-leasing.hr).

**LEGAL ENTITY:**

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<div>1.</div> <div></div>	<div>2.</div> <div></div>	<div>3.</div> <div></div>	<div>4.</div> <div></div>
<div>1.1.</div> <div></div>	<div>2.1.</div> <div></div>	<div>3.1.</div> <div></div>	<div>4.1.</div> <div></div>
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Name of legal representative: \_\_\_\_\_ Signature: \_\_\_\_\_